

FACTS

WHAT WHAT DOES MOOMOO FINANCIAL INC. DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> ■ Social Security number and date of birth ■ Address and contact information ■ Assets, income, account balances and transaction history ■ Risk tolerance
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Moomoo Financial Inc. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Moomoo Financial Inc. share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	Yes	Yes
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you	Yes, but only if you subscribe to certain IPO or new issue offerings (e.g. through a Directed Share Program).	No. Consent to this sharing is a condition of participation in those offerings.

To limit our sharing	Email us at [cs@us.moomoo.com] Please note: If you are a <i>new</i> customer, we can begin sharing your information from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.
Retention	Moomoo Financial Inc. retains the categories of personal information described in this Notice for as long as necessary to fulfill the purposes for which it was collected, to meet regulatory or legal obligations, to maintain business records, to detect and prevent fraud, and to comply with applicable federal, state, IRS, and other recordkeeping requirements. Where specific retention periods are required by law, we retain personal information for the duration of those mandated periods. Where no fixed period applies, we determine the appropriate retention period based on factors such as the nature of the information, the purpose for collection, operational needs, and applicable regulatory requirements
Questions?	Call [+1-888-721-0610] (Trading day: 08:30 AM - 04:30 PM EST) or go to [www.moomoo.com/us/support]

Who we are	
Who is providing this notice?	Moomoo Financial Inc.
What we do	
How does Moomoo Financial Inc. protect my personal information?	To protect your personal information from unauthorized access and use, we use a variety of measures designed to comply with federal law. Such measures include both proprietary and commercially available software and encryption programs. We also train our personnel on procedures and protocols to enhance protection against unauthorized access.
Why can't I limit all sharing?	Federal law only authorizes you to limit sharing with our affiliates and third parties. State laws and individual companies may give you additional rights to limit sharing. See below for more information
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.
Joint marketing	An agreement between nonaffiliated financial companies that jointly market their services or products to consumers. Moomoo Financial Inc. does not engage in joint marketing with nonaffiliated companies.
Other important information	
<p>For California Residents. We will not share information we collect about you with nonaffiliated companies, except as permitted by law. For example, we may share information with you consent or to services your accounts. Among our affiliates, we will limit information sharing to the extent required by California law.</p> <p>For Vermont Residents. We will not share information we collect about you with nonaffiliated companies, except as permitted by law. For example, we may share information with your consent or to service your accounts. We will not share information about your creditworthiness without your authorization or consent, but we may share information about our transactions or experiences with you with our affiliates without your consent.</p>	